



OFFICE OF THE VICE PRESIDENT - RESEARCH AND INNOVATION

OFFICE OF THE PRESIDENT
1111 Franklin Street, 11th Floor
Oakland, California 94607-5200

March 6, 2020

CHANCELLORS
ACADEMIC COUNCIL CHAIR BHAVNANI
LABORATORY DIRECTOR WITHERELL
ANR VICE PRESIDENT HUMISTON

Re: Systemwide Review of Proposed Presidential Policy Protection of Human Subjects in Research

Dear Colleagues:

Enclosed for systemwide review are proposed revisions to Presidential Policy on Protection of Human Subjects in Research.

This policy revises the current Protection of Human Subjects in Research policy, last updated in 1981, and describes the responsibilities of the University, its campuses and researchers, in protecting the rights and welfare of human subjects. The Research Policy Analysis and Coordination unit worked with the campus Institutional Review Board (IRB) Directors to comprehensively review what UC says about protecting those who participate in research at UC.

Systemwide Review

Systemwide review is a public review distributed to the Chancellors, the Director of the Lawrence Berkeley National Laboratory, the Chair of the Academic Council, and the Vice President of Agriculture and Natural Resources requesting that they inform the general University community, especially affected employees, about policy proposals. Systemwide review also includes a mandatory, 90-day full Senate review.

Employees should be afforded the opportunity to review and comment on the draft policy. Attached is a Model Communication which may be used to inform non-exclusively represented employees about these proposals. The Labor Relations Office at the Office of the President is responsible for informing the bargaining units representing union membership about policy proposals.

We would appreciate receiving your comments no later than June 3, 2020. Please submit your comments to RPAC@ucop.edu with the subject line "Protection of Human Subjects in Research Policy Comments". If you have any questions, please contact Agnes Balla at agnes.balla@ucop.edu or (510) 987-9987.

Sincerely,

Lourdes G. DeMattos
Acting Executive Director
Research Policy Analysis & Coordination

March 6, 2020

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Enclosures:

- 1) Presidential Policy Protection of Human Subjects in Research (clean copy)
- 2) Presidential Policy Protection of Human Subjects in Research (redline copy)
- 3) Model Communication

cc: President Napolitano
Provost and Executive Vice President Brown
Executive Vice Chancellors/Provosts
Executive Vice President and Chief of Staff Nava
Senior Vice President Bustamante
Acting Vice President Lloyd
Vice Provost Carlson
Vice Provost and Interim Vice President Gullatt
Vice Provosts/Vice Chancellors of Academic Affairs/Personnel
Academic Personnel Directors
Deputy General Counsel Woodall
Executive Director Baxter
Executive Director Chester
Executive Director and Chief of Staff Henderson
Executive Director Peterson
Chief of Staff Levintov
Chief of Staff Peterson
Director Grant
Director Lee
Manager Donnelly
Manager Smith
Manager Crosson
Analyst Wilson