3. Gifts from any entity listed in A of $50 or more?
   No  Yes — describe gift and state value

4. Outstanding loans.
   a. Loans** received from any entity listed in A for which the outstanding balance has exceeded $250 in the past 12 months?
      No  Yes — amount owed did not exceed $1,000
      Yes — amount owed exceeded $1,000 but did not exceed $10,000
      Yes — amount owed exceeded $10,000
   b. If you answered yes (any yes box checked) to 4a:
      was the loan secured or unsecured?
      What was the interest rate of the loan?
      Was the loan entirely repaid within the past 12 months? No Yes

E. If you listed more than one entity in A, and have answered yes to C or to any question in D, indicate in which entity you, your spouse or dependent child has each financial interest disclosed.

** (Do not include a loan from a commercial lending institution made in the ordinary course of business on terms available to the public without regard to the official status of the loan: (1) was used to purchase your principal place of residence, or (2) is less than $10,000, including indebtedness from an installment sale or contract.)

Verification
I declare under penalty of perjury that I have used all reasonable diligence in preparing this Statement and to the best of my knowledge it is true and complete.

Executed on ________________, 19____, at ____________________________
(date) (location)

Signature: ___________________________________________________________

All of the information on this form is mandatory, is required by the provisions of the Political Reform Act, Government Code Sections 81000, et seq., and will be available to any member of the public upon request. This information is to be used to reveal to public scrutiny certain financial interests of public officials and employees in order to disclose potential conflicts of interest and to aid in the prevention of actual conflicts of interests.

1/83 Fair Political Practices Commission
Disposition Schedule: Original—7 years
Copies—4 years
To be completed for all research projects which have been or will be funded, in whole, or in part: (1) through a contract or grant of $250 or more with a non-governmental entity; or (2) by a gift from a non-governmental entity which is earmarked by the donor for a specific research project or a specific principal investigator, provided the amount of the gift, or the aggregate over a 12 month period, from the same donor is $250 or more.

<table>
<thead>
<tr>
<th>Title of Research Project:</th>
<th>..........................................................</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name of Principal Investigator:</td>
<td>........................................................................</td>
</tr>
<tr>
<td>Department:</td>
<td>........................................................................</td>
</tr>
<tr>
<td>University of California at:</td>
<td>........................................................................</td>
</tr>
</tbody>
</table>

A. Provide the following information about each non-governmental entity which will fund (or has funded) this project, in whole or in part:

| Name of entity: | ........................................................................|
| Address of entity: | ........................................................................|
| Principal business of entity: | .......................................................... |
| Amount of funding: | .......................................................... |

B. This statement is:

- APPLICATION STATEMENT: This is an application for initial funding, or for renewal of funding for the research project.
- PROJECT COMPLETION STATEMENT: The research project expired on

C. Are you a director, officer, partner, trustee, or employee of, or do you hold any position of management in, any entity listed in A?

- No
- Yes

D. Do you, or does your spouse or dependent child, have:

1. An investment of $1,000 or more in any entity listed in A above?

- No
- Yes — value does not exceed $10,000
- Yes — value exceeds $10,000 but does not exceed $100,000
- Yes — value exceeds $100,000

2. Income (including any payment, such as salary or consulting fees) of $250 or more received from any entity listed in A within the last 12 months? (Do not include any salary or summer salary paid by the University with funds provided by an entity listed in A.)

- No
- Yes — value does not exceed $1,000
- Yes — value exceeds $1,000, but does not exceed $10,000
- Yes — value exceeds $10,000

* If more space is needed for listing multiple entities, add these on a separate piece of paper labeled "Section A, continued" and attach to this form.
1. An employee must submit a report to his/her department when making a proposal, or learning that one has been made, and when any of the following circumstances exists:

   a. The employee acting alone proposes for a consideration to rent or sell goods or to provide services to the University.

   b. The employee owns or controls more than 10% interest in any business which proposes for a consideration to rent or sell goods or to provide services to the University.

   c. A near relative of the employee, acting alone or under the direct or indirect suggestion of the employee, proposes for a consideration to rent or sell goods or to provide services to the University.

   d. A near relative of the employee owns or controls more than 10% interest in any business which proposes for a consideration to rent or sell goods or provide services to the University.

2. If the requesting department determines that the described goods and services are available from commercial sources or campus facilities, but due to unusual or extenuating circumstances desires an exception to the Employee-Vendor Policy, the department then submits a request for exception to the Purchasing Division.

3. Purchasing Division then reviews the departmental request and recommends to the Vice Chancellor—Business and Finance that an exception be granted where such request provides sufficient justification.

4. The request for exception is then approved or disapproved by the Vice Chancellor—Business and Finance.