CONTRACTS AND GRANTS (RESEARCH)
Section: 150-33 EXHIBIT C
Rescinded: 08/16/2021
Effective: 07/01/1983
Supersedes: 04/01/1980
Review Date: TBD
Issuance Date: 07/01/1983
Issuing Office: Office of Contract and Grant Administration

INSTRUCTIONS FOR COMPLETING
UNIVERSITY OF CALIFORNIA RECORD OF INVENTION FORMS

The following instructions apply to the correspondingly numbered sections of the Form:

1. Use a short title, sufficiently descriptive for aiding in identifying the invention.

2. Provide a brief summary which addresses the nature and gist of the invention and particularly points out its novel features and advantages. Use additional sheets to provide an expanded description which should cover the following points:
   a. General purpose or utility of the invention.
   b. Brief description of the state of the art prior to your invention.
   c. Technical description with reference to drawings, schematics, sketches, flow diagrams, etc., as appropriate, including a description of the best way you know of practicing the invention.
   d. Possible modifications and variations.
   e. Advantages and improvements of your invention over existing practice, and the features believed to be new.

3. Since funding often carries patent obligations, be sure to include all outside agencies, organizations, or companies that actually provided salary, research funds and/or facilities for the research which led to the conception or first actual reduction to practice of the invention. (Do not list anyone gratuitously; i.e., be factual, not nice)
   Identify all such sponsors by name and applicable grant or contract number.

4-8. This information is legally important from the standpoint of determining priority of invention and/or legal “bars” to patenting. (As a general rule, public disclosure, in any manner, before the date a patent application is actually filed automatically destroys patent rights in most foreign countries. United States patent law allows inventors up to one year to file a patent application after first printed publication, public use or sale.)

9. List any references of which you are aware which most closely describe the related state of the art prior to your invention. You are not required to make a search of the art.

10. Provide to the best of your knowledge the names and addresses (if available) of companies that are or may be interested in manufacturing, using, and/or further developing your invention. (Optional)

11. List as inventors those individuals who, individually or jointly, contributed an essential feature of the invention. In the event that a patent application is filed, inventorship will be verified by the patent attorney assigned to the case.

NOTE
*Original signatures are required. If a co-inventor is not available to sign, please so indicate.
*Arrange for signing by two technically qualified witnesses who have read and understood the invention disclosure.

If you would like assistance in completing the Form, please call the Patent Office at (415) 642-5000.

Please submit the completed Form, with original signatures, directly to Patent Administrator, Systemwide Administration, Berkeley, 94720. If you do not receive an acknowledgment within 30 days, please call the Patent Office at (415) 642-5000.
UNIVERSITY OF CALIFORNIA
RECORD OF INVENTION

Note: This Record of Invention is an important legal document and care should
be taken in its preparation. Please refer to instructions accompanying
this form. If you would like assistance, please call the Patent Office
at (415) 642-5080.

1. Short descriptive title of the invention.

2. Give a brief summary of the invention, particularly including the novel
features and advantages. (Use additional sheets for detailed description.)

3. (a) List the source(s) of funding of the project under which this
invention evolved. Identify by contract or grant number, if
applicable, and identify Principal Investigator/Supervisor.

<table>
<thead>
<tr>
<th>Funding Source/Sponsor</th>
<th>Contract or Grant Number</th>
<th>Principal Investigator/Supervisor</th>
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4. When did you first conceive this invention?

5. What is the date of the first written record (notebook, letter, proposal,
drawing, etc.) of this invention?
Identify the document, page numbers involved, and location of the document.

6. When did you first successfully test this invention?

7. When, under what circumstances, and to whom have you disclosed this
invention to persons not on the University staff, including research
sponsor, if any?
   (a) orally
   (b) in writing
   (c) by actual use, demonstration, or posters

(over)
8. Have you submitted or do you plan to submit a report, abstract, paper or thesis relating to this invention for publication, for presentation at a conference, or to a research sponsor? If yes, give details, including date of submission or planned submission and whether manuscript has been accepted. Append copy of document, if available.

9. Identify any references, patents, patent applications, or other publications of which you are aware which you believe to be pertinent to this invention. Please attach a copy of each of these references, if available.

10. What companies might be interested in marketing this technology?

11. INVENTORS:
   a. Signature Date
      Print Name
      Campus Address & Phone Ext.
   b. Signature Date
      Print Name
      Campus Address & Phone Ext.

12. For any "inventors" named above who are not employed by UC on a 100% time basis, please identify other employers (e.g., V.A.) and % of salary time funded by such other employer.

TECHNICALLY QUALIFIED WITNESSES: (Two required)
Invention disclosed to and understood by:
   a. Signature Date
      Print Name
   b. Signature Date
      Print Name

SUBMIT WITH ORIGINAL SIGNATURES DIRECTLY TO PATENT ADMINISTRATOR, SYSTEMWIDE ADMINISTRATION, UNIVERSITY OF CALIFORNIA, BERKELEY, 94720. IF YOU DO NOT RECEIVE AN ACKNOWLEDGMENT WITHIN 30 DAYS, PLEASE CALL THE PATENT OFFICE AT (415) 642-5000.

DISTRIBUTION OF COPIES TO THIRD PARTIES IS EXPRESSLY PROHIBITED.

THIS RECORD MAY BE USED FOR INTERNAL UNIVERSITY CONFIDENTIAL PERSONNEL PROCEDURES AND ACTIONS.

Effective January 1, 1982